

# Geodesic rays and stability in the cscK problem

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# Uniformization Theorem for Riemann Surfaces

Riemann surface: surface with a complex structure:

Topology	Metric	Curvature
$\mathbb{S}^2 = \mathbb{C}\mathbb{P}^1$	spherical	1
$\mathbb{T}^2 = \mathbb{C}/\mathbb{Z}^2$	flat	0
$\Sigma_g = \mathbb{B}^1/\pi_1(\Sigma_g)$	hyperbolic	-1

$\Sigma_g$  closed oriented surface of genus  $g \geq 2$ .

$$\mathbb{B}^1 = \{z \in \mathbb{C}; |z| < 1\}.$$

Generalization for higher dimensional complex projective manifolds?

# Kähler manifolds and Kähler metrics

$X$ : complex manifold,  $\{(U_\alpha, z_1, \dots, z_n)\}$ .

Kähler form: a smooth closed positive  $(1, 1)$ -form:

$$\omega = \frac{\sqrt{-1}}{2\pi} \sum_{i,j=1}^n g_{i\bar{j}} dz^i \wedge d\bar{z}^j, \quad (g_{i\bar{j}}) > 0.$$

$d\omega = 0 \implies$  Kähler class  $[\omega] \in H^2(X, \mathbb{R}) \cap H_{\bar{\partial}}^{1,1}(X, \mathbb{C})$ .

**Local  $\partial\bar{\partial}$ -Lemma:**  $\exists$  local potentials  $\varphi_0 = \{(\varphi_0)_\alpha \in C^\infty(U_\alpha)\}$

$$\omega_0 = \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial}\varphi_0 =: \frac{\sqrt{-1}}{2\pi} \frac{\partial^2 \varphi_0}{\partial z_i \partial \bar{z}_j} dz_i \wedge d\bar{z}_j = dd^c \varphi_0.$$

**Global  $\partial\bar{\partial}$ -Lemma:** any Kähler form in  $[\omega]$  can be written as

$$dd^c \varphi := \omega_0 + \sqrt{-1} \partial\bar{\partial} u = \sqrt{-1} \sum_{i,j} \left( (\varphi_0)_{i\bar{j}} + u_{i\bar{j}} \right) dz^i \wedge d\bar{z}^j$$

where  $\varphi = \varphi_0 + u$  is locally defined, while  $u = \varphi - \varphi_0$  and  $dd^c \varphi$  are globally defined.

# Constant scalar curvature Kähler (cscK) metrics

Ricci curvature:

$$R_{i\bar{j}} := Ric(dd^c\varphi)_{i\bar{j}} = -\frac{\partial^2}{\partial z_i \partial \bar{z}_j} \log \det(\varphi_{k\bar{l}}).$$

Scalar curvature:

$$\begin{aligned} S(dd^c\varphi) &= g^{i\bar{j}} R_{i\bar{j}} \\ &= -g^{i\bar{j}} \frac{\partial}{\partial z_i \partial \bar{z}_j} \log \det(\varphi_{k\bar{l}}). \end{aligned}$$

cscK equation is a 4-th order highly nonlinear equation:

$$S(dd^c\varphi) = \underline{S}.$$

$\underline{S}$  is a topological constant:

$$\underline{S} = \frac{n \langle c_1(X) \wedge [\omega]^{n-1}, X \rangle}{\langle [\omega]^n, X \rangle}.$$

# Kähler metric as curvature forms

If  $[\omega] \in H^{1,1}(X, \mathbb{C}) \cap H^2(X, \mathbb{Z})$ , then  $[\omega] = c_1(L)$  for an ample holomorphic line bundle  $L$  over  $X$  and  $\omega = dd^c\varphi$  for a Hermitian metric  $e^{-\varphi}$  on  $L$ .

**Holomorphic line bundle:** transition functions  $f_{\alpha\beta} \in \mathcal{O}(U_\alpha \cap U_\beta)$ .

$$L = \left( \bigsqcup_{\alpha} U_{\alpha} \times \mathbb{C} \right) / \{s_{\alpha} = f_{\alpha\beta}s_{\beta}\}.$$

**Hermitian metrics:**  $e^{-\varphi} := \{e^{-\varphi_{\alpha}}\}$  Hermitian metric on  $L$ :

$$e^{-\varphi_{\alpha}} = |f_{\alpha\beta}|^2 e^{-\varphi_{\beta}}.$$

**$\partial\bar{\partial}$ -lemma:** Fix any reference metric  $e^{-\varphi_0}$ , then  $\exists u \in C^{\infty}(X)$  s.t.

$$e^{-\varphi} = e^{-\varphi_0} e^{-u}.$$

**Chern curvature**

$$dd^c\varphi = \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial}\varphi_{\alpha}.$$

# Yau-Tian-Donaldson (YTD) conjecture

## Conjecture (YTD conjecture)

*$(X, L)$  admits a cscK metric if and only if  $(X, L)$  is  $\text{Aut}(X, L)_0$ -uniformly K-stable for test configurations.*

The only if direction of this Conjecture is known to be true.

### Example:

If  $L = -K_X$  ample, then  $X$  is Fano and cscK=Kähler-Einstein.

In this case the above YTD conjecture is equivalent to the results of Tian, Chen-Donaldson-Sun, Berman. The existence part depends on Cheeger-Colding-Tian theory and partial  $C^0$ -estimates.

Different variational approach, based on pluripotential theory and non-Archimedean geometry, works also for singular Fano varieties and has been successfully carried out by

Berman-Boucksom-Jonsson, **Li**-Tian-Wang, Hisamoto and **Li**.

Moreover the K-stability condition for Fano varieties are in many cases checkable.

## Theorem (Li '20)

*Let  $\mathbb{G}$  be a reductive subgroup of  $\text{Aut}(X, L)_0$ . If  $(X, L)$  is  $\mathbb{G}$ -uniformly  $K$ -stable for models (or for filtrations), then  $(X, L)$  admits a cscK metric.*

We have implications and conjecture they are all equivalent.

$\text{Aut}(X, L)_0$ -uniformly  $K$ -stable for models  $\implies$  cscK

$\implies \text{Aut}(X, L)_0$ -uniformly  $K$ -stable for test configurations

Applications: reproving the toric TYD conjecture (without Donaldson's toric analysis):

## Theorem (Hisamoto, Chen-Cheng, Li)

*A polarized toric manifold  $(X, L)$  admits a cscK metric if and only if  $(X, L)$  is  $(\mathbb{C}^*)^r$ -uniformly  $K$ -stable.*



Mabuchi functional (K-energy): Chen-Tian's formula:

$$\begin{aligned}\mathbf{M}(\varphi) &= - \int_0^1 dt \int_X \dot{\varphi} \cdot (S(\varphi(t)) - \underline{S})(dd^c \varphi(t))^n \\ &= \mathbf{H}(\varphi) - \mathbf{H}(\varphi_0) + \mathbf{E}^{-\text{Ric}(\Omega)}(\varphi) + \frac{\underline{S}}{n+1} \mathbf{E}(\varphi).\end{aligned}$$

Entropy, twisted energy and Monge-Ampère energy:

$$\begin{aligned}\mathbf{H}(\varphi) &= \int_X \log \frac{(dd^c \varphi)^n}{\Omega} (dd^c \varphi)^n. \\ \frac{d}{dt} \mathbf{E}^{-\text{Ric}(\Omega)}(\varphi) &= -n \int_X \dot{\varphi} \text{Ric}(\Omega) \wedge (dd^c \varphi)^{n-1}. \\ \frac{d}{dt} \mathbf{E}(\varphi) &= \int_X \dot{\varphi} (dd^c \varphi)^n.\end{aligned}$$

Space of smooth Kähler metrics:

$$\mathcal{H} = \{\varphi = \varphi_0 + u; u \in C^\infty(X), \omega_0 + dd^c u > 0\}.$$

Finite energy metrics as Completion of  $\mathcal{H}$  (Cegrell, Guedj-Zeriahi)

$$\begin{aligned} \mathcal{E}^1 &= \{\varphi \in \text{PSH}(X, [\omega]); \\ &\quad \mathbf{E}(\varphi) := \inf\{\mathbf{E}(\tilde{\varphi}); \tilde{\varphi} \geq \varphi, \tilde{\varphi} \in \mathcal{H}\} > -\infty\}. \end{aligned}$$

Strong topology on  $\mathcal{E}^1$ :  $\varphi_m \rightarrow \varphi$  strongly if  $\varphi_m \rightarrow \varphi$  in  $L^1(\omega^n)$  and  $\mathbf{E}(\varphi_m) \rightarrow \mathbf{E}(\varphi)$ .

All 3-parts in  $\mathbf{M}$  are defined on  $\mathcal{E}^1$ . There is a norm-like energy:

$$\begin{aligned} \mathbf{J}(\varphi) &= \int_X (\varphi - \varphi_0)(dd^c \varphi)^n - \mathbf{E}(\varphi) \\ &= \sum_{i=0}^{n-1} \frac{n-i}{n+1} \frac{\sqrt{-1}}{2\pi} \int_X \partial u \wedge \bar{\partial} u \wedge \omega_u^i \wedge \omega^{n-1-i} \geq 0. \end{aligned}$$

## Definition

Given  $\varphi_1, \varphi_2 \in \mathcal{E}^1$ , a geodesic segment joining  $\varphi_1, \varphi_2$  is:

$$\Phi = \sup\{\tilde{\Phi} \in \text{PSH}(X \times [s_1, s_2] \times S^1, p_1^*L); \tilde{\Phi}(\cdot, s_i) = \varphi_i, i = 1, 2\}.$$

A geodesic ray emanating from  $\varphi_0$  is a map  $\Phi : \mathbb{R}_{\geq 0} \rightarrow \mathcal{E}^1$  s.t.

$\forall s_1, s_2 \in \mathbb{R}_{\geq 0}$ ,  $\Phi|_{[s_1, s_2]}$  is the geodesic segment joining  $\varphi(s_1)$  and  $\varphi(s_2)$ , and  $\Phi(\cdot, 0) = \varphi_0$ .

- Geodesics originates from Mabuchi's  $L^2$ -metric on  $\mathcal{H}$  and satisfies the Homogeneous Complex Monge-Ampère (HCMA) equation in pluripotential sense (Semmes, Donaldson):

$$(\sqrt{-1}\partial\bar{\partial}\Phi)^{n+1} = 0.$$

- $\mathbf{E}(\varphi(s))$  is linear with respect to  $s$ .
- $\sup(\varphi(s) - \varphi_0)$  is linear with respect to  $s$ .

# Csck metrics are minimizers of Mabuchi functional

Theorem (Chen-Tian, Berman-Berndtsson, Berman-Darvas-Lu)

$\mathbf{M}$  is convex along geodesics in  $\mathcal{E}^1$ . It is linear if and only if the geodesic is generated by holomorphic vector fields.

Consequences of convexity:

Theorem (Berman-Berndtsson, Berman-Darvas-Lu)

Csck metrics obtain the minimum of  $\mathbf{M}$  over  $\mathcal{E}^1$ . Moreover (smooth) csck metrics are unique up to  $\text{Aut}(X, [\omega])_0$ .

This reproves and generalizes previous results of Chen-Tian, Donaldson and Mabuchi.

# Variational criterion

$\mathbb{G}$ : a reductive Lie group,  $\mathbb{G} = \mathbb{K}^{\mathbb{C}}$  and  $\mathbb{T} \cong (\mathbb{C}^*)^r$  the center of  $\mathbb{G}$ .

Definition (Tian, refined by Darvas-Rubinstein and Hisamoto)

$\mathbf{M}$  is  $\mathbb{G}$ -coercive if there exists  $\gamma > 0$  such that for any  $\varphi \in \mathcal{H}^{\mathbb{K}}$ ,

$$\mathbf{M}(\varphi) \geq \gamma \cdot \mathbf{J}_{\mathbb{T}}(\varphi),$$

where  $\mathbf{J}_{\mathbb{T}}(\varphi) := \inf_{\sigma \in \mathbb{T}} \mathbf{J}(\sigma^* \varphi)$ .

We have hard results:

Theorem (Chen-Cheng, Darvas-Rubinstein, Berman-Darvas-Lu)

*Tian's properness conjecture is true: there exists a cscK metric in  $(X, [\omega])$  if and only if  $\mathbf{M}$  is  $\text{Aut}(X, [\omega])_0$ -coercive.*

Hisamoto, **Li** :  $\text{Aut}(X, [\omega])_0$  can be replaced by any reductive  $\mathbb{G}$  that contains a maximal torus of  $\text{Aut}(X, [\omega])_0$ .

# Criterion via destabilizing geodesic rays

For a geodesic ray  $\Phi$  and a functional  $\mathbf{F}$  defined over  $\mathcal{E}^1$ , set:

$$\mathbf{F}'^\infty(\Phi) = \lim_{s \rightarrow +\infty} \frac{\mathbf{F}(\varphi(s))}{s}.$$

The limit exists for all  $\mathbf{F} \in \{\mathbf{E}, \mathbf{E}^{-Ric(\Omega)}, \mathbf{H}, \mathbf{M}, \mathbf{J}, \mathbf{J}_T\}$ .

Based on compactness result about strong topology in Berman-Boucksom-Eyssidieux-Guedj-Zeriahi (BBEGZ), destabilizing sequence produces destabilizing a geodesic ray:

**Theorem (Darvas-He, Chen-Cheng, Berman-Boucksom-Jonsson)**

$\mathbf{M}$  is  $\mathbb{G}$ -coercive iff there exists  $\gamma > 0$  s.t. for any geodesic ray  $\Phi$ ,

$$\mathbf{M}'^\infty(\Phi) \geq \gamma \cdot \mathbf{J}_T'^\infty(\Phi).$$

# Contact with algebraic geometry: Test configurations (Tian, Donaldson)

A test configuration (TC)  $(\mathcal{X}, \mathcal{L})$  is a  $\mathbb{C}^*$ -equivariant degeneration of  $(X, L)$ :

- 1  $\pi : \mathcal{X} \rightarrow \mathbb{C}$ : a  $\mathbb{C}^*$ -equivariant family of projective varieties;
- 2  $\mathcal{L} \rightarrow \mathcal{X}$ : a  $\mathbb{C}^*$ -equiv. semiample holomorphic  $\mathbb{Q}$ -line bundle;
- 3  $\eta : (\mathcal{X}, \mathcal{L}) \times_{\mathbb{C}} \mathbb{C}^* \cong (X, L) \times \mathbb{C}^*$ .

**Trivial test configuration:**  $(X_{\mathbb{C}}, L_{\mathbb{C}}) := (X, L) \times \mathbb{C}$ .

$(\mathcal{X}, \mathcal{L})$  is **dominating** if there is a  $\mathbb{C}^*$ -equivariant birational morphism  $\rho : \mathcal{X} \rightarrow X \times \mathbb{C}$ .

Under the isomorphism  $\eta$ , psh metrics on  $\mathcal{L}|_{\pi^{-1}(\mathbb{C}^*)}$  are considered as *subgeodesic rays* on  $(X, L)$ .

# Geodesic rays from test configurations

For any TC  $(\mathcal{X}, \mathcal{L})$ , there are many smooth subgeodesic ray which extend to be a smooth psh metrics on  $\mathcal{L}$ .

## Theorem (Phong-Sturm)

*For any test configuration, there exists a unique geodesic ray  $\Phi$  emanating from  $\varphi_0$  s.t.  $\Phi$  extends to a bounded psh metric on  $\mathcal{L}$ .*

$\Phi$  is obtained by solving the HCMA on a resolution of  $\mathcal{X}$ :

$$(\mu^*(dd^c \tilde{\Phi}) + U)^{n+1} = 0; \quad U|_{X \times S^1} = 0,$$

where  $\tilde{\Phi}$  is any smooth positively curved Hermitian metric on  $\mathcal{L}$ . In general the solution  $\Phi := \tilde{\Phi} + U$  is at most  $C^{1,1}$  (Phong-Sturm, Chu-Tosatti-Weinkove).



# Mabuchi slopes along (sub)geodesic rays on TCs

For any TC  $(\mathcal{X}, \mathcal{L})$ , set:

$$\begin{aligned}\mathbf{M}^{\text{NA}}(\mathcal{X}, \mathcal{L}) &= K_{\bar{\mathcal{X}}/\mathbb{P}^1}^{\log} \cdot \bar{\mathcal{L}} \cdot n + \frac{S}{n+1} \bar{\mathcal{L}} \cdot n+1 \\ \mathbf{J}^{\text{NA}}(\mathcal{X}, \mathcal{L}) &= \bar{\mathcal{L}} \cdot L_{\mathbb{P}^1} \cdot n - \frac{\bar{\mathcal{L}} \cdot n+1}{n+1}.\end{aligned}$$

Theorem (Tian, Boucksom-Hisamoto-Jonsson)

For any smooth psh metric  $\Phi$  on  $\mathcal{L}$ , we have the slope formula:

$$\mathbf{M}'^{\infty}(\Phi) = \mathbf{M}^{\text{NA}}(\mathcal{X}, \mathcal{L}) = \frac{1}{d} \text{CM}((\mathcal{X}, \mathcal{L}) \times_{\mathbb{C}, t \mapsto t^d} \mathbb{C}).$$

Theorem (Li '20 (Xia proved  $\leq$ ))

If  $\Phi$  is the geodesic ray associated to  $(\mathcal{X}, \mathcal{L})$ , then:

$$\mathbf{M}'^{\infty}(\Phi) = \mathbf{M}^{\text{NA}}(\mathcal{X}, \mathcal{L}).$$

## Proposition (Hisamoto)

For any  $\mathbb{G}$ -equivariant test configuration  $(\mathcal{X}, \mathcal{L})$ ,

$$\mathbf{J}_{\mathbb{T}}^{\infty}(\Phi) = \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\mathcal{X}, \mathcal{L}) := \inf_{\xi \in \mathbb{N}_{\mathbb{R}}} \mathbf{J}^{\text{NA}}(\mathcal{X}_{\xi}, \mathcal{L}_{\xi}).$$

## Definition (Tian, Donaldson, Székelyhidi, Dervan, BHJ, Hisamoto)

$(X, L)$  is  $\mathbb{G}$ -uniformly K-stable if there exists  $\gamma > 0$  such that for any  $\mathbb{G}$ -equivariant test configuration  $(\mathcal{X}, \mathcal{L})$ ,

$$\mathbf{M}^{\text{NA}}(\mathcal{X}, \mathcal{L}) \geq \gamma \cdot \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\mathcal{X}, \mathcal{L}). \quad (1)$$

## Proposition (Hisamoto for $\text{Aut}(X, L)_0$ , Li for general $\mathbb{G}$ )

Assume that  $(X, L)$  admits a cscK metric. If  $\mathbb{G}$  contains a maximal torus of  $\text{Aut}(X, L)_0$ , then  $(X, L)$  is  $\mathbb{G}$ -uniformly K-stable.

# Berkovich's analytic space

Let  $X$  be a projective variety defined over  $\mathbb{C}$ .

- If  $\mathbb{C}$  is endowed with the standard (Archimedean) absolute valuation, then  $X^{\text{an}}$  is the usual complex analytic manifold.
- If  $\mathbb{C}$  is given the trivial valuation, then  $(X^{\text{an}}, L^{\text{an}})$  is the non-Archimedean Berkovich space. The set of divisorial valuations  $X_{\mathbb{Q}}^{\text{div}}$  is dense in  $X^{\text{NA}} := X^{\text{an}}$ . A metric  $\phi$  on  $L^{\text{NA}} := L^{\text{an}}$  is represented by the function  $\phi - \phi_{\text{triv}}$  on  $X_{\mathbb{Q}}^{\text{div}}$ .

Each (dominating) TC  $(\mathcal{X}, \mathcal{L})$  defines a **smooth NA metric**:

$\forall v \in X_{\mathbb{Q}}^{\text{div}}$ , if  $G(v) \in (X \times \mathbb{C})_{\mathbb{Q}}^{\text{div}}$  is the Gauss extension (i.e.  $G(v)$  is  $\mathbb{C}^*$ -invariant extension of  $v$  satisfying  $G(v)(t) = 1$ ), we have

$$f_{\mathcal{L}}(v) := f_{(\mathcal{X}, \mathcal{L})}(v) = G(v)(\mathcal{L} - \rho^* L_{\mathbb{C}}).$$

**Smooth NA psh metrics  $\Leftrightarrow$  equivalence class of test configurations**

$$\mathcal{H}^{\text{NA}}(L) = \{\phi_{(\mathcal{X}, \mathcal{L})} := \phi_{\text{triv}} + f_{\mathcal{L}}; (\mathcal{X}, \mathcal{L}) \text{ is a test configuration}\}.$$

For any  $\phi = \phi(\mathcal{X}, \mathcal{L}) \in \mathcal{H}^{NA}$ , set:

$$\mathbf{E}^{NA}(\phi) := \frac{\bar{\mathcal{L}} \cdot n + 1}{n + 1}.$$

Non-Archimedean version of PSH/finite energy metrics:

$$\text{PSH}^{NA}(L) = \left\{ \phi : X_{\mathbb{Q}}^{\text{div}} \rightarrow \mathbb{R} \cup \{-\infty\}; \exists \text{ a decreasing sequence } \phi(\mathcal{X}_m, \mathcal{L}_m) \in \mathcal{H}^{NA} \text{ such that } \phi = \lim_{m \rightarrow +\infty} \phi(\mathcal{X}_m, \mathcal{L}_m) \right\},$$

$$\mathcal{E}^{1,NA} = \left\{ \phi \in \text{PSH}^{NA}; \mathbf{E}^{NA}(\phi) := \inf \{ \mathbf{E}^{NA}(\tilde{\phi}); \tilde{\phi} \geq \phi \} > -\infty \right\}.$$

**Strong topology:**  $\phi_m \rightarrow \phi$  strongly if converges pointwise and  $\mathbf{E}^{NA}(\phi_m) \rightarrow \mathbf{E}^{NA}(\phi)$ .

All Archimedean functionals before can be defined on  $\mathcal{E}^{1,NA}$ .

## Theorem (Boucksom-Favre-Jonsson, Boucksom-Jonsson)

$\exists$  operator  $\text{MA}^{\text{NA}} : \mathcal{E}^1 \rightarrow \mathcal{M}^{1,\text{NA}}$  (finite energy radon measures):

- 1 For any TC  $(\mathcal{X}, \mathcal{L})$ , one recovers Chambert-Loir's measure:

$$\text{MA}^{\text{NA}}(\phi_{(\mathcal{X}, \mathcal{L})}) = \sum_j b_j (\mathcal{L}|_{E_j})^{\cdot n} \delta_{x_j}, \quad (2)$$

where  $x_j = b_j^{-1} r(\text{ord}_{E_j}) \in X_{\mathbb{Q}}^{\text{div}}$  with  $\mathcal{X}_0 = \sum_j b_j E_j$ .

- 2 The Monge-Ampère operator defines a homeomorphism

$$\text{MA}^{\text{NA}} : \mathcal{E}^{1,\text{NA}}(L)/\mathbb{R} \rightarrow \mathcal{M}^{1,\text{NA}} \quad (3)$$

w.r.t. the strong topology. Moreover, if  $\nu$  is a Radon measure supported on a dual complex  $\Delta_{\mathcal{X}}$  for a SNC model  $\mathcal{X}$ , then  $(\text{MA}^{\text{NA}})^{-1}(\nu)$  is continuous.

# Non-Archimedean metrics from geodesic rays

A subgeodesic ray  $\Phi = \{\varphi(s)\}_{s \geq 0}$  is of linear growth if

$$\sup_{s>0} \frac{\sup(\varphi(s) - \varphi_0)}{s} < +\infty.$$

Subgeodesic rays of linear growth define non-Archimedean metrics:

$$\Phi^{\text{NA}}(v) = -G(v)(\Phi), \quad \forall v \in X_{\mathbb{Q}}^{\text{div}}.$$

$\Phi^{\text{NA}} \in \mathcal{E}^{1,\text{NA}}$  as a decreasing limit of  $\phi_m \in \mathcal{H}^{\text{NA}}$ :

- 1 Consider the multiplier ideal sheaf (MIS) over  $X \times \mathbb{C}$ :

$$\mathcal{I}(m\Phi)(U) = \left\{ f \in \mathcal{O}(U); \int_U |f|^2 e^{-m\Phi} < +\infty \right\}.$$

- 2  $\mu_m : \mathcal{X}_m = \text{Bl}_{\mathcal{I}(m\Phi)} X_{\mathbb{C}} \rightarrow X_{\mathbb{C}}$ ,  $\mathcal{L}_m = \mu_m^* L_{\mathbb{C}} - \frac{1}{m+m_0} E_m$ .
  - Using the Nadel vanishing and global generation property of MIS,  $(\mathcal{X}_m, \mathcal{L}_m)$  is a test configuration of  $(X, L)$
  - Using valuative description of MIS (Boucksom-Favre-Jonsson),  $\phi_m := \phi(\mathcal{X}_m, \mathcal{L}_m)$  decreases to  $\phi$ .

## Definition (Berman-Boucksom-Jonsson (BBJ))

A geodesic ray  $\Phi$  is maximal if for any subgeodesic ray  $\tilde{\Phi}$  satisfying  $\tilde{\Phi}_{\text{NA}} \leq \Phi_{\text{NA}}$ , we have  $\tilde{\Phi} \leq \Phi$ .

## Theorem (Berman-Boucksom-Jonsson)

There is a one-to-one correspondence between  $\mathcal{E}^{1,\text{NA}}$  and the set of maximal geodesic rays. For any maximal geodesic ray  $\Phi$ , we have:

$$\mathbf{E}'^{\infty}(\Phi) = \mathbf{E}^{\text{NA}}(\Phi_{\text{NA}}).$$

- Not every geodesic ray is maximal (examples of Darvas, BBJ).
- Maximal geodesic rays are exactly those that are algebraically approximable, i.e. approximable by geodesic rays associated to test configurations. Moreover for such approximations:

$$\lim_{m \rightarrow +\infty} \mathbf{E}'^{\infty}(\Phi_m) = \mathbf{E}'^{\infty}(\Phi).$$

# Non-Archimedean metrics from Models

In the definition of a test configuration  $(\mathcal{X}, \mathcal{L})$ , if we don't require  $\mathcal{L}$  to be semiample, then we say that  $(\mathcal{X}, \mathcal{L})$  is a **model** of  $(X, L)$ . Let  $\mathfrak{b}_m$  be the relative base ideal of  $m\mathcal{L}$  and set

$$\mathcal{X}_m = \mathrm{Bl}_{\mathfrak{b}_m} \mathcal{X} \xrightarrow{\mu_m} \mathcal{X}, \quad \mathcal{L}_m = \mu_m^* \mathcal{L} - \frac{1}{m} E_m.$$

We associate a **model psh metric**:

$$\phi_{\mathcal{L}} := \phi_{(\mathcal{X}, \mathcal{L})} := \lim_{m \rightarrow +\infty} \phi_{(\mathcal{X}_m, \mathcal{L}_m)}.$$

## Theorem-Definition (Movable Intersection Formula, Li '20)

For  $\phi = \phi_{(\mathcal{X}, \mathcal{L})}$ , with  $\mathcal{L}_c = \mathcal{L} + c\mathcal{X}_0$ ,  $c \gg 1$ ,

$$\mathbf{M}^{\mathrm{NA}}(\phi) := \langle \bar{\mathcal{L}}_c^n \rangle \cdot \left( K_{\bar{\mathcal{X}}/\mathbb{P}^1}^{\log} + \frac{\mathfrak{S}}{n+1} \bar{\mathcal{L}}_c \right)$$

where  $\langle \cdot \rangle$  is the movable intersection product of big line bundles studied in Boucksom-Demailly-Păun-Peternell.



# K-stability for models

Model psh metric by using associated filtration  $\mathcal{F}R_\bullet = \{\mathcal{F}^\lambda R_m\}$ :

$$\mathcal{F}^\lambda H^0(X, mL) = \{s \in H^0(X, mL); t^{-\lceil \lambda \rceil} \bar{s} \in H^0(\mathcal{X}, m\mathcal{L})\}.$$

To any filtration  $\mathcal{F}R_\bullet$ , one can associate a maximal geodesic ray (Ross-WittNyström) and a lower regularizable NA psh metric (Boucksom-Jonsson, Székelyhidi).

$\phi_{\mathcal{L}}$  is also a non-Archimedean envelope which is always continuous:

$$\phi_{\mathcal{L}} = \sup\{\phi \in \text{PSH}^{\text{NA}}(L); \phi - \phi_{\text{triv}} \leq f_{\mathcal{L}}\}.$$

## Definition (Li)

$(X, L)$  is  $\mathbb{G}$ -uniformly K-stable for models if  $\exists \gamma > 0$  such that for any model  $(\mathcal{X}, \mathcal{L})$ ,

$$\mathbf{M}^{\text{NA}}(\phi_{(\mathcal{X}, \mathcal{L})}) \geq \gamma \cdot \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\phi_{(\mathcal{X}, \mathcal{L})}).$$

# Key result: destabilizing geodesic rays are maximal

Theorem (Thm A, Li, '20)

A geodesic ray  $\Phi$  satisfies  $\mathbf{M}'^\infty(\Phi) < +\infty$  is necessarily maximal.

The proof uses two key ingredients: equisingularity of multiplier approximation (via valuative description of MIS) and Jensen's inequality (motivated by Tian's  $\alpha$ -type estimate): for any  $\alpha > 0$ ,

$$\begin{aligned} C(\alpha) &> \log \int_{X \times \mathbb{D}} e^{\alpha(\hat{\Phi} - \Phi)} \Omega \sqrt{-1} dt \wedge d\bar{t} \\ &\geq \alpha \int_X (\hat{\varphi}(s) - \varphi(s)) (dd^c \varphi(s))^n - \mathbf{H}_\Omega(\varphi(s)) - s \\ &\geq C\alpha \cdot (\mathbf{E}(\hat{\varphi}(s)) - \mathbf{E}(\varphi(s))) - \mathbf{H}(\varphi(s)) - s. \end{aligned}$$

Divide both sides by  $s$  and letting  $s \rightarrow +\infty$  to get

$\mathbf{E}'^\infty(\hat{\Phi}) = \mathbf{E}'^\infty(\Phi)$ , which by linearity of  $\mathbf{E}$  implies  $\mathbf{E}(\hat{\varphi}(s)) \equiv \mathbf{E}(\varphi)$  and consequently by Dinew's domination principle gives  $\hat{\varphi} \equiv \varphi$ .

## Theorem (Thm B, Li, Berman-Boucksom-Jonsson)

If a maximal geodesic ray  $\Phi$  is approximated by  $\{\Phi_m\}$  associated to test configurations, then

$$\lim_{m \rightarrow +\infty} (\mathbf{E}^{-\text{Ric}(\Omega)})^{I\infty}(\Phi_m) = (\mathbf{E}^{-\text{Ric}})^{I\infty}(\Phi).$$

As a consequence, we have:

$$(\mathbf{E}^{-\text{Ric}(\Omega)})^{I\infty}(\Phi) = (\mathbf{E}^{K_X})^{\text{NA}}(\Phi_{\text{NA}}).$$

The same statement holds for  $\mathbf{J}$  and  $\mathbf{J}_{\mathbb{T}}$ .

The proof uses the following estimate from BBEGZ:

$$\begin{aligned} & \int_X (\varphi_2 - \varphi_1) ((dd^c \varphi_3)^n - (dd^c \varphi_4)^n) \\ & \leq \mathbf{I}(\varphi_1, \varphi_2)^{1/2^n} \cdot \mathbf{I}(\varphi_3, \varphi_4)^{1/2^n} \max\{\mathbf{I}(\varphi_i)\}^{1-2^{1-n}}. \end{aligned}$$

# Slopes of entropy

For any  $\phi \in \mathcal{E}^{1, \text{NA}}$ , define:

$$\mathbf{H}^{\text{NA}}(\phi) = \int_{X^{\text{NA}}} A_X(v) \text{MA}^{\text{NA}}(\phi).$$

If  $\phi = \phi(x, \mathcal{L})$ , then  $\mathbf{H}^{\text{NA}}(\phi) = K_{x/X_C}^{\log} \cdot \bar{\mathcal{L}} \cdot n$ .

## Theorem (Thm C, Li, '20)

For any (maximal) geodesic ray  $\Phi$ , we have:

$$\mathbf{H}'^{\infty}(\Phi) \geq \mathbf{H}^{\text{NA}}(\Phi_{\text{NA}}), \quad \mathbf{M}'^{\infty}(\Phi) \geq \mathbf{M}^{\text{NA}}(\Phi_{\text{NA}}).$$

The key is to use the non-Archimedean identity for entropy:

$$\mathbf{H}^{\text{NA}}(\phi) = \sup \left\{ \int_{X^{\text{NA}}} f_{K_{\mathcal{Y}/X_C}^{\log}} \text{MA}^{\text{NA}}(\phi); \mathcal{Y} \text{ an SNC model} \right\},$$

Jensen's inequality and an asymptotic lemma of Boucksom-Hisamoto-Jonsson.

# Two conjectures

## Conjecture (Li, '20)

If  $\phi$  is maximal, then  $\mathbf{H}'^\infty(\phi) = \mathbf{H}^{\text{NA}}(\phi_{\text{NA}})$ .

This is implied by

## Conjecture (Boucksom-Jonsson)

For any  $\phi \in \mathcal{E}^{1,\text{NA}}$ , there exist  $\phi_m \in \mathcal{H}^{\text{NA}}$  s.t.  $\phi_m$  converges to  $\phi$  in the strong topology and

$$\mathbf{H}^{\text{NA}}(\phi) = \lim_{m \rightarrow +\infty} \mathbf{H}^{\text{NA}}(\phi_m).$$

**Difficulty:** As in the Archimedean case,  $\mathbf{H}^{\text{NA}}$  is only lower-semi-continuous, not continuous, under the strong convergence. One needs some nice smoothing process that preserves the non-Archimedean entropy. We give some partial smoothing in the following theorem.

# Approximation of non-Archimedean entropy

## Theorem (Thm D, Li)

For any  $\phi \in \mathcal{E}^{1, \text{NA}}$ , there exist models  $(\mathcal{X}_m, \mathcal{L}_m)$  such that  $\phi_m = \phi(\mathcal{X}_m, \mathcal{L}_m)$  converges to  $\phi$  in the strong topology and

$$\mathbf{M}^{\text{NA}}(\phi) = \lim_{m \rightarrow +\infty} \mathbf{M}^{\text{NA}}(\phi_m).$$

**Step 1:**  $\forall \phi \in \mathcal{E}^{1, \text{NA}}$ ,  $\exists \phi_m \in \mathcal{E}^{1, \text{NA}} \cap C^0(L^{\text{NA}})$  s.t.  $\phi_m \xrightarrow{\text{strongly}} \phi$ ,  $\mathbf{M}^{\text{NA}}(\phi_m) \rightarrow \mathbf{M}^{\text{NA}}(\phi)$  and  $\text{MA}^{\text{NA}}(\phi_m)$  is supported on a dual complex  $\Delta_{\mathcal{Y}}$  of an SNC model  $(\mathcal{Y}, \mathcal{L}_{\mathcal{Y}})$  of  $(X, L)$ .

**Step 2:**  $\forall \phi \in \mathcal{E}^{1, \text{NA}}$  with  $\text{MA}^{\text{NA}}(\phi)$  supported on  $\Delta_{\mathcal{Y}}$ ,  $\exists \phi_k \in \mathcal{E}^{1, \text{NA}} \cap C^0(L^{\text{NA}})$  s.t.  $\phi_k \xrightarrow{\text{strongly}} \phi$ ,  $\mathbf{M}^{\text{NA}}(\phi_k) \rightarrow \mathbf{M}^{\text{NA}}(\phi)$  and  $\mathbf{M}^{\text{NA}}(\phi_k)$  is a Dirac-type measure supported on  $\Delta_{\mathcal{Y}}$ .

**Step 3:** Boucksom-Favre-Jonsson showed that solution  $(\text{MA}^{\text{NA}})^{-1}(\nu)$  for Dirac type  $\nu$  is  $\phi(\mathcal{Y}, \mathcal{L}_{\mathcal{Y}})$  for some  $\mathbb{R}$ -line bundle  $\mathcal{L}_{\mathcal{Y}}$ . A perturbation makes  $\mathcal{L}_{\mathcal{Y}}$  a  $\mathbb{Q}$ -line bundle.

# Synthesis: proof of existence result

Proof by contradiction.

**Step 1:** If  $\mathbf{M}$  is not  $\mathbb{G}$ -coercive, then  $\exists$  destabilizing ray  $\Phi$  s.t.

$$\mathbf{M}'^\infty(\Phi) \leq 0, \quad \mathbf{J}_{\mathbb{T}}'^\infty(\Phi) = 1.$$

**Step 2:** By **Thm A**,  $\Phi$  is maximal. By **Thm B**, with  $\phi = \Phi_{\text{NA}}$ ,

$$\mathbf{E}'^\infty(\Phi) = \mathbf{E}^{\text{NA}}(\phi), \quad (\mathbf{E}^{-\text{Ric}(\Omega)})'^\infty(\Phi) = (\mathbf{E}^{K_X})^{\text{NA}}(\phi),$$

**Step 3:** By **Thm C**,  $\mathbf{M}'^\infty(\Phi) \geq \mathbf{M}^{\text{NA}}(\phi)$ .

**Step 4:** By **Thm D**, there exist **models**  $(\mathcal{X}_m, \mathcal{L}_m)$ :

$$\lim_{m \rightarrow +\infty} \mathbf{M}^{\text{NA}}(\phi_m) = \mathbf{M}^{\text{NA}}(\phi), \quad \text{with } \phi_m = \phi(\mathcal{X}_m, \mathcal{L}_m).$$

**Step 5:** Contradiction:

$$\begin{aligned} 0 &\geq \mathbf{M}'^\infty(\Phi) \geq \mathbf{M}^{\text{NA}}(\phi) = \lim_{m \rightarrow +\infty} \mathbf{M}^{\text{NA}}(\phi_m) \\ &\stackrel{\geq \text{stability}}{\geq} \lim_{m \rightarrow +\infty} \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\phi_m) = \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\phi) = 1. \end{aligned}$$

A toric manifold  $X^n$  is a projective manifold with an effective  $\mathbb{T} \cong (\mathbb{C}^*)^r$  action with an open dense orbit.

Ample toric line bundle  $\iff$  lattice (moment) polytope  $\Delta \subset \mathbb{Z}^n$ .

$(\mathbb{C}^*)^r$ -equivariant test configurations  $\iff$  convex piecewise linear rational functions on  $\Delta$ .

$(\mathbb{C}^*)^r$ -equivariant models  $\iff$  piecewise linear rational functions  $f_{\mathcal{L}}$  on  $\Delta$ , and

$\phi_{\mathcal{L}}$  = lower convex envelope of  $f_{\mathcal{L}}$ , and is convex piecewise linear rational and hence comes from a test configuration.

This corresponds to the algebraic fact: toric divisors on toric varieties admit Zariski decomposition.

So we get the toric YTD conjecture for all polarized toric manifolds.



# YTD in Kähler-Einstein case: use of $\mathbf{D}$

Proof by contradiction.

**Step 1:** If  $\mathbf{M}$  and  $\mathbf{D}$  are not  $\mathbb{G}$ -coercive, then  $\exists$  geodesic  $\Phi$  s.t.

$$\mathbf{D}'^\infty(\Phi) \leq 0, \quad \mathbf{J}_{\mathbb{T}}'^\infty(\Phi) = 1.$$

**Step 2:** By **Thm A**,  $\Phi$  is maximal and hence with  $\phi = \Phi_{\text{NA}}$ ,

$$\mathbf{E}'^\infty(\Phi) = \mathbf{E}^{\text{NA}}(\phi).$$

**Step 3:** Berman-Boucksom-Jonsson showed  $\mathbf{D}'^\infty(\Phi) = \mathbf{D}^{\text{NA}}(\phi)$ .

**Step 4:** By Multiplier Approximation, there exist **TCs**  $(\mathcal{X}_m, \mathcal{L}_m)$ :

$$\lim_{m \rightarrow +\infty} \mathbf{D}^{\text{NA}}(\phi_m) = \mathbf{D}^{\text{NA}}(\phi), \quad \text{with } \phi_m = \phi(\mathcal{X}_m, \mathcal{L}_m).$$

**Step 5:** Contradiction:

$$\begin{aligned} 0 &\geq \mathbf{D}'^\infty(\Phi) = \mathbf{D}^{\text{NA}}(\phi) = \lim_{m \rightarrow +\infty} \mathbf{D}^{\text{NA}}(\phi_m) \\ &\stackrel{\geq \text{stability}}{\geq} \lim_{m \rightarrow +\infty} \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\phi_m) = \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\phi) = 1. \end{aligned}$$

Thanks for your attention!