

$P = W$ FOR LAGRANGIAN FIBRATIONS AND DEGENERATIONS OF HYPER-KÄHLER MANIFOLDS

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Abstract. We identify the perverse filtration of a Lagrangian fibration with the monodromy weight filtration of a maximally unipotent degeneration of compact hyper-Kähler manifolds.

1. Throughout, we work over the complex numbers \mathbb{C} . Let M be an irreducible holomorphic symplectic variety or, equivalently, a projective hyper-Kähler manifold. Assume that it admits a (holomorphic) Lagrangian fibration $\pi : M \rightarrow B$. The perverse t -structure on the constructible derived category $D_c^b(B, \mathbb{Q})$ induces a perverse filtration on the cohomology of M ,

$$P_\bullet H^*(M, \mathbb{Q}).$$

We refer to [1, 9] for the conventions of the perverse filtration.

2. Let $f : \mathcal{M} \rightarrow \Delta$ be a projective degenerating family of hyper-Kähler manifolds over the unit disk. For $t \in \Delta^*$, let N denote the logarithmic monodromy operator on $H^2(\mathcal{M}_t, \mathbb{Q})$. The degeneration $f : \mathcal{M} \rightarrow \Delta$ is called of type III if

$$N^2 \neq 0, \quad N^3 = 0.$$

By [5, Proposition 7.14], this is equivalent to having maximally unipotent monodromy. See the rest of [5] and also [3, 8] for more discussions on degenerations of hyper-Kähler manifolds.

Let

$$(H_{\text{lim}}^*(\mathbb{Q}), W_\bullet H_{\text{lim}}^*(\mathbb{Q}), F_\bullet H_{\text{lim}}^*(\mathbb{C}))$$

denote the limiting mixed Hodge structure¹ associated with $f : \mathcal{M} \rightarrow \Delta$. In this short note, we prove the following result relating the perverse and the monodromy weight filtrations.

3. Theorem. — *For any Lagrangian fibration $\pi : M \rightarrow B$, there exists a type III projective degeneration of hyper-Kähler manifolds $f : \mathcal{M} \rightarrow \Delta$ with \mathcal{M}_t deformation equivalent to M for all $t \in \Delta^*$, such that*

$$(1) \quad P_k H^*(M, \mathbb{Q}) = W_{2k} H_{\text{lim}}^*(\mathbb{Q}) = W_{2k+1} H_{\text{lim}}^*(\mathbb{Q})$$

through an identification of the cohomology rings $H^*(M, \mathbb{Q}) = H_{\text{lim}}^*(\mathbb{Q})$.

Since M and \mathcal{M}_t are deformation equivalent hence diffeomorphic, they share the same cohomology. The limiting mixed Hodge structure can be viewed as supported on the cohomology of \mathcal{M}_t , which provides the required identification $H^*(M, \mathbb{Q}) = H_{\text{lim}}^*(\mathbb{Q})$. This identification will be built into the construction of the degeneration $f : \mathcal{M} \rightarrow \Delta$.

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¹Similarly as the perverse filtration, we consider the Hodge filtration as an increasing filtration.

4. Theorem 3 was previously conjectured by the first author in [4, Conjecture 1.4] and proven in the case of $K3$ surfaces.

The interaction between the perverse and the weight filtrations for certain (noncompact) hyper-Kähler manifolds was first discovered by de Cataldo, Hausel, and Migliorini [1], which is now referred to as the $P = W$ conjecture. More precisely, the $P = W$ conjecture identifies the perverse filtration of a Hitchin fibration with the weight filtration of the mixed Hodge structure of the corresponding character variety through Simpson’s nonabelian Hodge theory [11]. Theorem 3 can be viewed as a direct analogue of this conjecture.

5. Theorem 3 also offers conceptual explanations to the main results in [9]. As is remarked in [4, Introduction], a recent result of Soldatenkov [12, Theorem 3.8] shows that limiting mixed Hodge structure for type III degenerations is of Hodge–Tate type.² In particular, we have

$$\dim_{\mathbb{Q}} \mathrm{Gr}_{2i}^W H_{\mathrm{lim}}^{i+j}(\mathbb{Q}) = \dim_{\mathbb{C}} \mathrm{Gr}_i^F H_{\mathrm{lim}}^{i+j}(\mathbb{C}).$$

Coupled with the equalities (by (1) and the definition of the limiting Hodge filtration)

$$\begin{aligned} \dim_{\mathbb{Q}} \mathrm{Gr}_i^P H^{i+j}(M, \mathbb{Q}) &= \dim_{\mathbb{Q}} \mathrm{Gr}_{2i}^W H_{\mathrm{lim}}^{i+j}(\mathbb{Q}), \\ \dim_{\mathbb{C}} \mathrm{Gr}_i^F H_{\mathrm{lim}}^{i+j}(\mathbb{C}) &= \dim_{\mathbb{C}} \mathrm{Gr}_i^F H^{i+j}(\mathcal{M}_t, \mathbb{C}) = \dim_{\mathbb{C}} \mathrm{Gr}_i^F H^{i+j}(M, \mathbb{C}), \end{aligned}$$

this yields the “Perverse = Hodge” equality in [9, Theorem 0.2],

$$\dim_{\mathbb{Q}} \mathrm{Gr}_i^P H^{i+j}(M, \mathbb{Q}) = \dim_{\mathbb{C}} \mathrm{Gr}_i^F H^{i+j}(M, \mathbb{C}).$$

See [9, Section 0.4] for various applications of this equality.

Moreover, the $P = W$ identity (1) implies the multiplicativity of the perverse filtration

$$\cup : P_k H^d(M, \mathbb{Q}) \times P_{k'} H^{d'}(M, \mathbb{Q}) \rightarrow P_{k+k'} H^{d+d'}(M, \mathbb{Q})$$

through the general fact that the monodromy weight filtration is multiplicative. The latter follows from a combination of results of Fujisawa and Steenbrink. Fujisawa [2, Lemma 6.16] proved that the wedge product on the relative logarithmic de Rham complex of a projective semistable degeneration induces a cup product on the hypercohomology groups which respects a particular weight filtration. In the much earlier work [13, Section 4], Steenbrink identified the hypercohomology of the relative logarithmic de Rham complex with the cohomology of the nearby fiber, in such a way that the cup product matches the topological cup product and the weight filtration corresponds to the monodromy weight filtration. This recovers [9, Theorem A.1].

As the proof of Theorem 3 uses the same ingredients as in [9], the new way of deriving these results is not logically independent.

6. We now prove Theorem 3 and we make free use of the statements in [9]. To fix some notation, let $\pi : M \rightarrow B$ be a Lagrangian fibration with $\dim M = 2 \dim B = 2n$. The second cohomology group $H^2(M, \mathbb{Z})$ (resp. $H^2(M, \mathbb{Q})$) is equipped with the Beauville–Bogomolov–Fujiki quadratic form $q_M(-)$ of signature $(3, b_2(M) - 3)$, where $b_2(M)$ is the second Betti number of M .

²This parallels the fact that the mixed Hodge structure of character varieties is of Hodge–Tate type; see [10].

Let $\eta \in H^2(M, \mathbb{Q})$ be a π -relative ample class, and let $\beta \in H^2(M, \mathbb{Q})$ be the pullback of an ample class on B . We have $q_M(\beta) = 0$ and, by taking \mathbb{Q} -linear combinations of η and β , we may assume $q_M(\eta) = 0$. Note that in this case, we have $b_2(M) \geq 4$.

7. Consider the following operators on the cohomology $H^*(M, \mathbb{Q})$,

$$L_\eta(-) = \eta \cup -, \quad L_\beta(-) = \beta \cup -.$$

In [9, Section 3.1], it was shown that L_η and L_β form \mathfrak{sl}_2 -triples $(L_\eta, H_\eta, \Lambda_\eta)$ and $(L_\beta, H_\beta, \Lambda_\beta)$ which generate an $\mathfrak{sl}_2 \times \mathfrak{sl}_2$ -action on $H^*(M, \mathbb{Q})$. The action induces a weight decomposition

$$(2) \quad H^*(M, \mathbb{Q}) = \bigoplus_{i,j} P^{i,j}$$

with

$$H_\eta|_{P^{i,j}} = (i - n) \text{id}, \quad H_\beta|_{P^{i,j}} = (j - n) \text{id}.$$

A key observation in [9, Proposition 1.1] is that (2) provides a canonical splitting of the perverse filtration $P_\bullet H^*(M, \mathbb{Q})$. More precisely, we have

$$(3) \quad P_k H^d(M, \mathbb{Q}) = \bigoplus_{\substack{i+j=d \\ i \leq k}} P^{i,j}.$$

8. The $\mathfrak{sl}_2 \times \mathfrak{sl}_2$ -action above is part of a larger Lie algebra action on $H^*(M, \mathbb{Q})$ introduced by Looijenga–Lunts [7, Section 4] and Verbitsky [14, 15]. The Looijenga–Lunts–Verbitsky algebra

$$\mathfrak{g} \subset \text{End}(H^*(M, \mathbb{Q}))$$

is defined to be the Lie subalgebra generated by all \mathfrak{sl}_2 -triples $(L_\omega, H, \Lambda_\omega)$ with $\omega \in H^2(M, \mathbb{Q})$ such that $L_\omega(-) = \omega \cup -$ satisfies hard Lefschetz.

Given a \mathbb{Q} -vector space V equipped with a quadratic form q , we define the Mukai extension

$$\tilde{V} = V \oplus \mathbb{Q}^2, \quad \tilde{q} = q \oplus \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix}.$$

Looijenga–Lunts [7, Proposition 4.5] and Verbitsky [15, Theorem 1.4] showed independently

$$\mathfrak{g} \simeq \mathfrak{so}(\tilde{H}^2(M, \mathbb{Q}), \tilde{q}_M), \quad \mathfrak{g}_{\mathbb{R}} \simeq \mathfrak{so}(4, b_2(M) - 2).$$

Here the statement with \mathbb{Q} -coefficients is taken from [3, Theorem 2.7]. Moreover, there is a weight decomposition $\mathfrak{g} = \mathfrak{g}_{-2} \oplus \mathfrak{g}_0 \oplus \mathfrak{g}_2$ with natural isomorphisms

$$(4) \quad \mathfrak{g}_{-2} \simeq H^2(M, \mathbb{Q}), \quad \mathfrak{g}_0 \simeq \mathfrak{so}(H^2(M, \mathbb{Q}), q_M) \oplus \langle H \rangle, \quad \mathfrak{g}_2 \simeq H^2(M, \mathbb{Q}).$$

Another relevant Lie algebra is generated by the \mathfrak{sl}_2 -triples associated with η , β , and a third element $\rho \in H^2(M, \mathbb{Q})$ satisfying

$$q_M(\rho) > 0, \quad q_M(\eta, \rho) = q_M(\beta, \rho) = 0.$$

Such a ρ exists by the signature $(3, b_2(M) - 3)$ of q_M . Let $\mathfrak{g}_\rho \subset \mathfrak{g}$ denote this Lie subalgebra and let

$$V_\rho = \langle \eta, \beta, \rho \rangle \subset H^2(M, \mathbb{Q}).$$

By [9, Corollary 2.5] complemented with the argument in [3, Theorem 2.7], we have

$$(5) \quad \mathfrak{g}_\rho \simeq \mathfrak{so}(\tilde{V}_\rho, \tilde{q}_M|_{\tilde{V}_\rho}).$$

The \mathfrak{g}_ρ -action on $H^*(M, \mathbb{Q})$ induces the same weight decomposition as (2); see [9, Section 3.1].

9. Recall the natural isomorphism $\wedge^2 H^2(M, \mathbb{Q}) \simeq \mathfrak{so}(H^2(M, \mathbb{Q}), q_M)$ defined by

$$a \wedge b \mapsto \frac{1}{2}q_M(a, -)b - \frac{1}{2}q_M(b, -)a.$$

As in [12, Lemma 4.1], we obtain a nilpotent operator $N_{\beta, \rho} = \beta \wedge \rho \in \mathfrak{so}(H^2(M, \mathbb{Q}), q_M)$ whose action on $H^2(M, \mathbb{Q})$ satisfies

$$\mathrm{Im}(N_{\beta, \rho}) = \langle \beta, \rho \rangle, \quad \mathrm{Im}(N_{\beta, \rho}^2) = \langle \beta \rangle, \quad N_{\beta, \rho}^3 = 0.$$

By [6, Lemma 3.9] and the assumption $q_M(\beta, \rho) = 0$, we can further identify $N_{\beta, \rho}$ with the commutator $[L_\beta, \Lambda_\rho] \in \mathfrak{g}_0$ through the isomorphisms (4). Note that $N_{\beta, \rho} = [L_\beta, \Lambda_\rho] \in \mathfrak{g}_\rho$.

In the two remaining sections, we show that the nilpotent operator $N_{\beta, \rho}$ induces an \mathfrak{sl}_2 -triple whose weight decomposition splits both the perverse filtration $P_\bullet H^*(M, \mathbb{Q})$ and the monodromy weight filtration of a degeneration $f : \mathcal{M} \rightarrow \Delta$. This completes the proof of Theorem 3.

10. The construction of a degeneration $f : \mathcal{M} \rightarrow \Delta$ with logarithmic monodromy $N_{\beta, \rho}$ is precisely [12, Theorem 4.6]. While the original statement requires $b_2(M) \geq 5$ to ensure the existence of an element $\beta \in H^2(M, \mathbb{Q})$ with $q_M(\beta) = 0$, in our situation β is readily given by the Lagrangian fibration $\pi : M \rightarrow B$. From the proof of [12, Theorem 4.6], it suffices to find an element $h \in H^2(M, \mathbb{Z})$ satisfying

$$q_M(h) > 0, \quad q_M(\beta, h) = q_M(\rho, h) = 0$$

in order to obtain nilpotent orbits $(N_{\beta, \rho}, x)$ with $x \in \widehat{\mathcal{D}}_h$ as in [12, Definition 4.3].³ These nilpotent orbits eventually provide the required degeneration $f : \mathcal{M} \rightarrow \Delta$ through global Torelli. Now since q_M is of signature $(3, b_2(M) - 3)$ while $q_M|_{V_\rho}$ is only of signature $(2, 1)$ (recall that $b_2(M) \geq 4$), such an h exists.

By Jacobson–Morozov, the nilpotent operator $N_{\beta, \rho} \in \mathfrak{g}_\rho$ is part of an \mathfrak{sl}_2 -triple which we denote $(L_N = N_{\beta, \rho}, H_N, \Lambda_N)$. Consider the action of this \mathfrak{sl}_2 on $H^*(M, \mathbb{Q})$ and the associated weight decomposition

$$(6) \quad H^*(M, \mathbb{Q}) = \bigoplus_{d, m} W_m^d$$

with $H_N|_{W_m^d} = m \mathrm{id}$. By the definition of the monodromy weight filtration, we have

$$(7) \quad W_k H_{\mathrm{lim}}^d(\mathbb{Q}) = \bigoplus_{d-m \leq k} W_m^d.$$

11. Finally, we match the perverse decomposition (2) with the weight decomposition (6). As both decompositions are defined over \mathbb{Q} , it suffices to work with \mathbb{C} -coefficients.

We recall some basic facts about $\mathfrak{so}(5, \mathbb{C})$ -representations. Let V be a \mathbb{C} -vector space admitting three \mathfrak{sl}_2 -actions (L_1, H, Λ_1) , (L_2, H, Λ_2) , and (L_3, H, Λ_3) which generate an $\mathfrak{so}(5, \mathbb{C})$ -action. More concretely, the operators

$$L_s, \Lambda_s, K_{st} = [L_s, \Lambda_t], H, \quad \text{for } s, t \in \{1, 2, 3\}$$

satisfy the relations (2.1) in [14]. We consider the Cartan subalgebra

$$\mathfrak{h} = \langle H, -\sqrt{-1}K_{23} \rangle \subset \mathfrak{so}(5, \mathbb{C})$$

³Here $\widehat{\mathcal{D}}_h$ is the extended polarized period domain with respect to $h \in H^2(M, \mathbb{Z})$.

and the associated weight decomposition

$$V = \bigoplus_{i,j} V^{i,j}$$

with

$$H|_{V^{i,j}} = (i + j - 2n) \text{id}, \quad (-\sqrt{-1}K_{23})|_{V^{i,j}} = (i - j) \text{id}.$$

We define a nilpotent operator

$$L_N = \left[\frac{1}{2}L_2 - \frac{\sqrt{-1}}{2}L_3, \Lambda_1 \right] = -\frac{1}{2}K_{12} + \frac{\sqrt{-1}}{2}K_{13} \in \mathfrak{so}(5, \mathbb{C}),$$

which induces an \mathfrak{sl}_2 -triple (L_N, H_N, Λ_N) with

$$\Lambda_N = \left[-\frac{1}{2}L_2 - \frac{\sqrt{-1}}{2}L_3, \Lambda_1 \right] = \frac{1}{2}K_{12} + \frac{\sqrt{-1}}{2}K_{13}, \quad H_N = \sqrt{-1}K_{23}.$$

In particular, we have $H_N|_{V^{i,j}} = (j - i) \text{id}$. The weight decomposition with respect to this \mathfrak{sl}_2 -action then takes the form

$$V = \bigoplus_m V_m^d, \quad V_m^d = \bigoplus_{\substack{i+j=d \\ j-i=m}} V^{i,j}$$

with $H_N|_{V_m^d} = m \text{id}$.

In our geometric situation, let V be the total cohomology $H^*(M, \mathbb{C})$. We consider the three operators L_1, L_2, L_3 determined by

$$L_1 = L_\rho, \quad \frac{1}{2}L_2 + \frac{\sqrt{-1}}{2}L_3 = L_\eta, \quad \frac{1}{2}L_2 - \frac{\sqrt{-1}}{2}L_3 = L_\beta$$

which induce a representation of $\mathfrak{so}(5, \mathbb{C})$ by (5). In particular, we have $V^{i,j} = P_{\mathbb{C}}^{i,j}$. Moreover, the nilpotent operator L_N is exactly $N_{\beta,\rho} = [L_\beta, \Lambda_\rho]$. We conclude from (3) and (7)

$$P_k H^d(M, \mathbb{C}) = \bigoplus_{\substack{i+j=d \\ i \leq k}} P_{\mathbb{C}}^{i,j} = \bigoplus_{\substack{i+j=d \\ j-i=m \\ d-m \leq 2k}} V^{i,j} = \bigoplus_{d-m \leq 2k} V_m^d = \bigoplus_{d-m \leq 2k} W_{m,\mathbb{C}}^d = W_{2k} H_{\text{lim}}^d(\mathbb{C}).$$

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