## 2023 秋: 代数学一 (实验班) 期末考试

时间: 120 分钟 满分: 110 分, 最高得分不超过 100 分

所有的环都有乘法单位元, 且与其加法单位元不相等; 所有环同态把 1 映到 1.

All rings contain  $1_R$  and  $1_R \neq 0_R$ ; all ring homomorphisms take 1 to 1.

判断题 请在答卷纸上整齐编号书写 T 或 F (10 分)

1	2	3	4	5	6	7	8	9	10
$\mathbf{F}$	F	T	F	$\mathbf{F}$	T	${ m T}$	F	T	T

1. 每个  $\mathbf{Z}_4 \times \mathbf{Z}_8$  中的元素的阶都是 8.

Every element of  $\mathbf{Z}_4 \times \mathbf{Z}_8$  has order 8.

False. For example,  $(0,0) \in \mathbf{Z}_4 \times \mathbf{Z}_8$  has order 1.

2. 如果  $H \in G$  的子群,则  $N_G(H) \in G$  的正规子群。

If H is a subgroup of G, then  $N_G(H)$  is a normal subgroup of G.

False. There is no reason for  $N_G(H)$  to be normal in G. For example,  $H = \{1, (12)\} \in S_3 = G$  is a subgroup,  $N_G(H) = H$  is not normal in G.

3. 环  $R_1 \times R_2$  的理想都形如  $I_1 \times I_2$ ,这里  $I_1$  是  $R_1$  的理想, $I_2$  是  $R_2$  的理想。

Every ideal of the product of the ring  $R_1 \times R_2$  is of the form  $I_1 \times I_2$  for ideals  $I_1 \subseteq R_1$  and  $I_2 \subseteq R_2$ .

True. Let I be the idea of  $R_1 \times R_2$ . Put  $I_1 = \{a_1 \mid \text{there exists } (a_1, a_2) \in I\}$  and  $I_2 = \{a_2 \mid \text{there exists } (a_1, a_2) \in I\}$ . Indeed, for  $(a_1, a_2), (a_1, a_2)(1, 0) = (a_1, 0)$  and  $(a_1, a_2)(0, 1) = (0, a_2)$ ; so  $I_1 = \{a_1 \mid (a_1, 0) \in I\}$  and  $I_2 = \{a_2 \mid (0, a_2) \in I\}$ . It is clear that  $I_1$  is an ideal of  $R_1$ , and  $I_2$  is an ideal of  $R_2$ . On the other hand,  $I_1 \times I_2 = I_1 + I_2$ ; so all ideals are of the form  $I_1 \times I_2$ .

4. 设 R 是整环,  $\varphi: R \to R'$  是交换环之间的满射。则  $\varphi(R) = R'$  也是一个整环。

Let R be an integral domain and  $\varphi: R \to R'$  a surjective homomorphism of commutative rings, then  $\varphi(R) = R'$  is an integral domain.

False. There is no reason for R' to be an integral domain. For example,  $\varphi : \mathbb{Z} \to \mathbb{Z}/n\mathbb{Z}$  is surjective but  $\mathbb{Z}/n\mathbb{Z}$  is not an integral domain unless n is a prime number.

5. 若 p 是一个整环 D 中的不可约元素,则 p 是一个 D 中的素元。

If p is an irreducible element in an integral domain D, then p is a prime element.

False. In an integral domain, a prime element is always irreducible, but not conversely.

6. 设 M 和 N 是两个  $\mathbb{Q}$ -线性空间, $\varphi:M\to N$  是一个  $\mathbb{Z}$ -模同态。则  $\varphi$  是一个  $\mathbb{Q}$ -线性映射。

Let M and N be two  $\mathbb{Q}$ -vector spaces and  $\varphi: M \to N$  is a  $\mathbb{Z}$ -module homomorphism. Then  $\varphi$  is a  $\mathbb{Q}$ -linear map.

True. We need to show that  $\varphi(\frac{a}{b}m) = \frac{a}{b}\varphi(m)$  for  $\frac{a}{b} \in \mathbb{Q}$ . This is because  $b \cdot \varphi(\frac{a}{b}m) = \varphi(b \cdot \frac{a}{b}m) = \varphi(am) = a\varphi(m)$ . In  $\mathbb{Q}$ -vector space, we may "divide by b" to get  $\varphi(\frac{a}{b}m) = \frac{a}{b}\varphi(m)$ .

7. 任何一个域要么包含  $\mathbb{Q}$ , 要么包含某个  $\mathbb{F}_p$  (p 为素数).

A field either contains  $\mathbb{Q}$  or contains  $\mathbb{F}_p$  for some prime number p.

True. If the field F has characteristic 0, it contains  $\mathbb{Q}$ . If the field F has characteristic p > 0, it contains  $\mathbb{F}_p$ .

8. 设 K/F 是一个有限的域扩张。若中间域  $K_1$  和  $K_2$  满足  $\mathrm{Gal}(K/K_1)$  与  $\mathrm{Gal}(K/K_2)$  同构,则  $K_1=K_2$ .

Let K be a finite Galois extension of F. If two intermediate fields  $K_1$  and  $K_2$  satisfies  $Gal(K/K_1)$  is isomorphic to  $Gal(K/K_2)$ , then  $K_1 = K_2$ .

False. To prove that  $K_1 = K_2$ , it is not enough to require two Galois group  $\operatorname{Gal}(K/K_1)$  and  $\operatorname{Gal}(K/K_2)$  to be isomorphic, we need  $\operatorname{Gal}(K/K_1)$  and  $\operatorname{Gal}(K/K_2)$  to be the same group. For example,  $K = \mathbb{Q}(\sqrt{2}, \sqrt{3})$  and  $\operatorname{Gal}(K/\mathbb{Q}) \cong (\mathbb{Z}/2\mathbb{Z})^2$ . For  $K_1 = \mathbb{Q}(\sqrt{2})$  and  $K_2 = \mathbb{Q}(\sqrt{3})$ , the Galois groups  $\operatorname{Gal}(K/K_1) = \{0\} \times \mathbb{Z}/2\mathbb{Z}$  and  $\operatorname{Gal}(K/K_2) = \mathbb{Z}/2\mathbb{Z} \times \{0\}$  are isomorphic. Yet  $K_1 \neq K_2$ .

9. 设域扩张塔  $F \subseteq K_1 \subseteq K_2 \subseteq \cdots$  中每一个  $K_i/F$  都是有限伽罗华扩张。记  $K = \bigcup_i K_i$ . 则 K 是一个 F 的伽罗华扩张。

Let  $F \subseteq K_1 \subseteq K_2 \subseteq \cdots$  be field extensions such that each  $K_i$  is finite and Galois over F. Put  $K = \bigcup_i K_i$ . Then K is a Galois extension of F.

True. Both properties of being separable and being normal are preserved under increasing union.

10. 设 K/F 是一个次数为 7 的扩张。则任何一个在 K 中但不在 F 中的元素  $\alpha$  都在 F 上生成 K.

Let K/F be a field extension of degree 7. Then any element  $\alpha \in K$  that does not belong to F generates K over F.

True. If  $\alpha \notin F$ , then  $F(\alpha) \neq F$ . We have  $[K : F] = [K : F(\alpha)] \cdot [F(\alpha) : F]$ . Since [K : F] = 7 is a prime number,  $[F(\alpha) : F]$  can only be 7. So  $K = F(\alpha)$ .

解答题一  $(15 \ \mathcal{G})$  记  $\zeta_{13} := e^{2\pi \mathbf{i}/13} \in \mathbb{C}$  和  $\alpha := \zeta_{13} + \zeta_{13}^{-1}$ .

(1) 决定  $\mathbb{Q}(\alpha)/\mathbb{Q}$  的伽罗华群. (需要给出一个严格的证明.)

(2) 确定  $\mathbb{Q}(\alpha)/\mathbb{Q}$  的所有中间域,并给出伽罗华群与域对应的图表。对每个中间域 (不包括  $\mathbb{Q}(\alpha)$  和  $\mathbb{Q}$ ),给出一个  $\mathbb{Q}$  上的生成元,并计算它的极小多项式。

Let 
$$\zeta_{13} := e^{2\pi \mathbf{i}/13} \in \mathbb{C}$$
, and let  $\alpha := \zeta_{13} + \zeta_{13}^{-1}$ .

- (1) Determine the Galois group of  $\mathbb{Q}(\alpha)/\mathbb{Q}$ . (You need to give a rigorous proof.)
- (2) Determine all intermediate fields of  $\mathbb{Q}(\alpha)/\mathbb{Q}$ , and draw the diagram of Galois correspondence of these intermediate fields. For each intermediate field (*excluding*  $\mathbb{Q}(\alpha)$  and  $\mathbb{Q}$ ), give a generator over  $\mathbb{Q}$  and compute its minimal polynomial.
- 证明. (1) The Galois group  $\operatorname{Gal}(\mathbb{Q}(\zeta_{13})/\mathbb{Q}) \cong (\mathbb{Z}/13\mathbb{Z})^{\times}$ . For  $a \in (\mathbb{Z}/13\mathbb{Z})^{\times}$ , let  $\sigma_a$  denote the corresponding automorphism. We note that  $\alpha$  is invariant under the action of  $\sigma_a$  if and only if

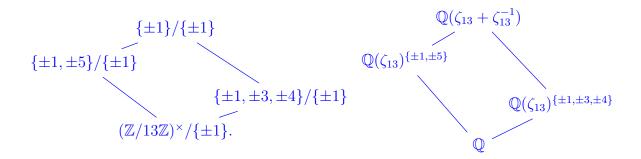
$$\sigma_a(\zeta_{13} + \zeta_{13}^{-1}) = \zeta_{13}^a + \zeta_{13}^{-a} = 2\cos\frac{a\pi}{13}$$

is equal to  $\zeta_{13} + \zeta_{13}^{-1} = 2\cos\frac{\pi}{13}$ . This is further equivalent to  $a \in \{\pm 1\}$ . So the Galois group of  $\operatorname{Gal}(\mathbb{Q}(\zeta_{13})/\mathbb{Q}(\zeta_{13}+\zeta_{13}^{-1})) = \{\pm 1\}$ . The Galois group

$$\operatorname{Gal}(\mathbb{Q}(\zeta_{13} + \zeta_{13}^{-1})/\mathbb{Q}) \cong (\mathbb{Z}/13\mathbb{Z})^{\times}/\{\pm 1\}.$$

It is a cyclic group of order 6.

(2) We have the following diagram of intermediate fields and subgroups.



Here  $\{\pm 1, \pm 5\}/\{\pm 1\}$  is the unique subgroup of  $(\mathbb{Z}/13\mathbb{Z})^{\times}/\{\pm 1\}$  of order 2, and  $\{\pm 1, \pm 3, \pm 4\}/\{\pm 1\}$  is the unique subgroup of order 3.

Write 
$$\zeta = \zeta_{13}$$
. For  $a \in \mathbb{N}$ , put  $\alpha_a = \zeta^a + \zeta^{-a}$  and  $\alpha_1 = \alpha$ . Put

$$\beta = \alpha_1 + \alpha_5 = \zeta + \zeta^{-1} + \zeta^5 + \zeta^{-5} \in \mathbb{Q}(\zeta)^{\{\pm 1, \pm 5\}}.$$

We compute that

$$\beta^{2} = (\alpha_{1} + \alpha_{5})^{2} = \alpha_{1}^{2} + 2\alpha_{1}\alpha_{5} + \alpha_{5}^{2} = \alpha_{2} + 2 + 2\alpha_{4} + 2\alpha_{6} + \alpha_{3} + 2,$$

$$\beta^{3} = (\alpha_{1} + \alpha_{5})^{3} = \alpha_{1}^{3} + 3\alpha_{1}^{2}\alpha_{5} + 3\alpha_{1}\alpha_{5}^{2} + \alpha_{5}^{3}$$

$$= (\alpha_{3} + 3\alpha_{1}) + 3(\alpha_{6} + \alpha_{3} + 2\alpha_{5}) + 3(\alpha_{2} + \alpha_{4} + 2\alpha_{1}) + (\alpha_{2} + 3\alpha_{5})$$

$$= 9\alpha_{1} + 4\alpha_{2} + 4\alpha_{3} + 3\alpha_{4} + 9\alpha_{5} + 3\alpha_{6}.$$

Using that  $1 + \zeta_{13} + \cdots + \zeta_{13}^{12} = 1 + \alpha_1 + \cdots + \alpha_6 = 0$ , we see that

$$0 = 5(1 + \alpha_1 + \dots + \alpha_6) = 5 + \beta^3 + (\beta^2 - 4) - 4\beta = \beta^3 + \beta^2 - 4\beta + 1.$$

Thus, we have  $\mathbb{Q}(\zeta_{13})^{\{\pm 1,\pm 5\}} = \mathbb{Q}(\beta)$  and  $\beta$  has minimal polynomial  $x^3 + x^2 - 4x + 1$ . Put

$$\gamma = \alpha_1 + \alpha_3 + \alpha_4 = \zeta + \zeta^{-1} + \zeta^3 + \zeta^{-3} + \zeta^4 + \zeta^{-4} \in \mathbb{Q}(\zeta)^{\{\pm 1, \pm 3, \pm 4\}}.$$

We compute that

$$\gamma^{2} = \alpha_{1}^{2} + \alpha_{3}^{2} + \alpha_{4}^{2} + 2\alpha_{1}\alpha_{3} + 2\alpha_{1}\alpha_{4} + 2\alpha_{3}\alpha_{4}$$

$$= (\alpha_{2} + 2) + (\alpha_{6} + 2) + (\alpha_{5} + 2) + 2(\alpha_{2} + \alpha_{4}) + 2(\alpha_{3} + \alpha_{5}) + 2(\alpha_{1} + \alpha_{6})$$

$$= 6 + 3\alpha_{2} + 3\alpha_{5} + 3\alpha_{6} + 2\alpha_{1} + 2\alpha_{3} + 2\alpha_{4}$$

Using that  $1 + \zeta_{13} + \cdots + \zeta_{13}^{12} = 1 + \alpha_1 + \cdots + \alpha_6 = 0$ , we see that

$$0 = 3(1 + \alpha_1 + \dots + \alpha_6) = 3 + (\gamma^2 - 6) + \gamma = \gamma^2 + \gamma - 3.$$

This 
$$\gamma = \frac{-1+\sqrt{13}}{2}$$
 so that  $\mathbb{Q}(\gamma) = \mathbb{Q}(\sqrt{13})$ .

**解答题二**  $(10 \, f)$  设 G 是一个阶为  $2^m k$  的群,这里 k 是一个奇数且 m 为正整数。假设 G 包含一个阶恰为  $2^m$  的元素 g。

- (a) 左乘  $x \in G$  定义了一个 G 中元素的置换 (正如 Cayley 定理中所叙述)。证明  $\pi_g$  是一个奇置换 (这里 g 是前述阶为  $2^m$  的元素)。
- (b) 令 H 为 G 中所有满足  $\pi_h$  为偶置换的元素  $h \in G$ . 证明:  $|H| = 2^{m-1}k$  且 H 包含一个元素其阶恰为  $2^{m-1}$ .
  - (c) 证明 G 包含一个子群其元素个数为 k.

Let G be a group of order  $2^m k$  with k odd and with  $m \ge 1$ . Assume that G contains an element q of order  $2^m$ .

(a) Multiplication (from the left) by  $x \in G$  gives a permutation  $\pi_x$  of the elements of G, as in Cayley's theorem. Show that  $\pi_g$  is an odd permutation (where g is the element of order  $2^m$ ).

- (b) Let H be the subgroup of  $h \in G$  such that  $\pi_h$  is an even permutation. Show that  $|H| = 2^{m-1}k$  and that H contains an element of order  $2^{m-1}$ .
  - (c) Show that G contains a subgroup of order k.

证明. (a) Since g has order  $2^m$ , there are exactly k right  $\langle g \rangle$ -cosets of G. So  $\pi_g$  is a product of k  $2^m$ -cycles. But every  $2^m$ -cycle is an odd permutation, so  $\pi_g$  is an odd permutation.

(b) Consider the homomorphism

$$\varphi: G \xrightarrow{x \mapsto \pi_x} S(G) \xrightarrow{\operatorname{sgn}} \{\pm 1\}$$

The kernel of  $\varphi$  is precisely the subgroup H of those  $h \in G$  for which  $\pi_h$  is an even permutation.

By first isomorphism theorem,  $G/H \cong \{\pm 1\}$ ; so  $|H| = 2^{m-1}k$  and it is clear that  $g^2 \in H$  and  $g^2$  generate a subgroup of H of order  $2^{m-1}$ .

(c) Use induction on m, we first see that G admits a subgroup  $G_{m-1}$  of order  $2^{m-1}h$  which contains an element of order  $2^{m-1}$ . Applying (b) in turn to  $G_{m-1}$  shows that  $G_{m-2}$  admits a subgroup  $G_{m-2}$  of order  $2^{m-2}h$  which contains an element of order  $2^{m-2}$ . Continue this way, we arrive at the group  $G_0$  of order exactly k.

解答题三 (10 分) 设 L/K 是一个伽罗华扩张,且其伽罗华群为由  $\sigma$  生成的 n 阶循环群。设 n=ab,  $\gcd(a,b)=1$ . 令  $F_1$  为  $\sigma^a$  的固定域, $F_2$  为  $\sigma^b$  的固定域。假设  $F_1=K(\alpha)$ , $F_2=K(\beta)$ . 证明: $L=K(\alpha+\beta)$ .

Let L/K be a Galois extension of fields such that Gal(L/K) is cyclic of order n, generated by  $\sigma$ . Write n=ab with gcd(a,b)=1. Let  $F_1$  be the fixed field of  $\sigma^a$  and  $F_2$  be the fixed field of  $\sigma^b$ . Suppose that  $F_1=K(\alpha)$  and  $F_2=K(\beta)$ . Prove that  $L=K(\alpha+\beta)$ .

证明. If  $L \neq K(\alpha + \beta)$ , then  $K(\alpha + \beta)$  is fixed by some  $\sigma^i$  with  $i \neq 0$ . By taking some multiple of i, we may assume that i is divisible by either a or b. WLOG, i is divisible by b. In particular,  $\sigma^i(\alpha + \beta) = \alpha + \beta$ . So

$$\sigma^{i}(\alpha) - \alpha = \beta - \sigma^{i}(\beta) = 0,$$

as  $\beta$  is fixed by  $\sigma^b$ . It then follows that  $\alpha$  is also fixed by  $\sigma^i$ . So  $K(\alpha) \subseteq L^{\langle \sigma^a, \sigma^i \rangle} \subsetneq F_1$ . Contradiction!

So 
$$L = K(\alpha + \beta)$$
.

**解答题四**  $(15 \, \mathcal{H})$  设 R 是一个唯一分解整环. 假设 R 中所有非零的素理想都是极大理想。证明: R 是一个主理想整环。(允许使用 Zorn 引理的推论,虽然不必要。)

Let R be a unique factorization domain. Suppose that every nonzero prime ideal of R is maximal. Show that R is a principal ideal domain. (You may make apply corollaries of Zorn's lemma, although not necessarily needed.)

证明. We first show that if p and q are nonassociated prime (or equivalently irreducible) elements, then there exist  $a, b \in R$  such that ap + bq = 1.

Now, let I be an ideal of R. For each nonzero element of I, the UFD property ensures that it factors uniquely as a product of irreducible elements (which is the same as prime elements). Take f to be the nonzero element of I with minimal number of prime factors, say  $f = p_1 \cdots p_r$  with irreducible elements  $p_1, \ldots, p_r \in R$ . We claim that I = (f).

Let g be another element of I that is not a multiple of f. WLOG, we assume that g has prime factorization  $p_{s+1} \cdots p_r q_1 \cdots q_t$  with each  $q_1, \ldots, q_t$  irreducible, and that each of  $p_1, \ldots, p_s$  is nonassociate with each of  $q_1, \ldots, q_t$ . For each pair  $(i, j) \in \{1, \ldots, s\} \times \{1, \ldots, t\}$  there exist  $a_{ij}, b_{ij} \in R$  such that  $a_{ij}p_i + b_{ij}q_j = 1$ . So we have

$$1 = \prod_{i=1}^{s} \prod_{j=1}^{t} (a_{ij}p_i + b_{ij}q_j)$$

Expanding the RHS, we note that every term is either a multiple of  $p_1 \cdots p_s$  or a multiple of  $q_1 \cdots q_t$ . (Indeed, if for every i, some  $a_{ij}p_i$  term is taken, the product is a multiple of  $p_1 \dots p_s$ . If for some i, none of  $a_{ij}p_i$  is taken, we must have chosen all of  $b_{ij}q_j$ ; so the product is a multiple of  $q_1 \cdots q_t$ .)

Thus,  $p_{s+1} \cdots p_r = p_{s+1} \cdots p_r \prod_{i=1}^s \prod_{j=1}^t (a_{ij}p_i + b_{ij}q_j)$  is a linear combination of f and g; so  $p_{s+1} \cdots p_r \in I$ , but this contradicts with the minimal number of prime factors of nonzero elements in I. Thus I = (f) is principal.

**解答题五**  $(10 \, \mathcal{G})$  设 G 是一个有限群,固定 G 的阶的一个素因子 p。记  $K = \bigcap N_G(P)$ ,这里相交取遍 G 的所有西罗 p-子群 P, $N_G(-)$  为正规化子。证明

- (a)  $K \triangleleft G$ .
- (b) G 和 G/K 有相同数量的西罗 p-子群。

Let G be a finite group and assume that p is a fixed prime divisor of its order. Set  $K = \bigcap N_G(P)$  where the intersection is taken over all Sylow p-subgroups P of G and  $N_G(-)$  denotes the normalizer. Show that

- (a)  $K \triangleleft G$ .
- (b) G and G/K have the same number of Sylow p-subgroups.

证明. (a) For  $q \in G$ , we have

$$gKg^{-1} = g\Big(\bigcap N_G(P)\Big)g^{-1} = \bigcap gN_G(P)g^{-1} = \bigcap N_G(gPg^{-1}) = \bigcap N_G(P) = K.$$

The second last equality is because that all p-Sylow subgroups are conjugate. So K is normal in G.

(b) Put  $\overline{G} = G/K$  and for any subgroup H of G, denote its image in G/K by  $\overline{H}$  (so  $\overline{H} = H/H \cap K$ ).

Fix a p-Sylow subgroup Q of G, then its image  $\overline{Q}$  in G/K is a p-Sylow subgroup. Let N denote the normalizer of Q, i.e.  $N = N_G(Q)$ . So the number of p-Sylow subgroups is precisely #(G/N). Similarly, the number of p-Sylow subgroups in G/K is precisely  $\#\overline{G}/N_{\overline{G}}(\overline{Q})$ .

First note that  $K = \bigcap N_G(P) \subseteq N_G(Q) = N$ . We claim that  $N_{\overline{G}}(\overline{Q}) \cong \overline{N}$ . For any  $n \in N$ ,  $n\overline{Q}n^{-1} = \overline{nQ}n^{-1} = \overline{Q}$ ; so the image of N is contained in  $N_{\overline{G}}(\overline{Q})$ . Conversely, if some  $\overline{n} \in \overline{G}$  normalizes  $\overline{Q}$ , it must be the case that  $nQn^{-1} \subseteq QK$  for some lift n of  $\overline{n}$  in G. But on the other hand, Q is a p-Sylow subgroup in G, so it is a p-Sylow subgroup of QK. On the other hand, K normalizes Q; so QK normalizes Q. Inside QK, the p-Sylow subgroup Q is normal; so  $Q = nQn^{-1}$ . It follows that  $n \in N$  and hence  $\overline{n} \in \overline{N}$ .

Now, we see that  $G/N \cong \overline{G}/\overline{N}$ ; it follows that the number of p-Sylow subgroups in G and the number of p-Sylow subgroups in G/K are the same.

## 解答题六 (15分) 此问题与标准基定理有关。

(a) 设 K/F 是一个有限伽罗华扩张,伽罗华群为 G. 证明:将 K 自然地看做群环 F[G] 的模是秩为 1 的自由模当且仅当存在元素  $x \in K$  使得  $\{\sigma(x) \mid \sigma \in G\}$  为 K 作为 F-线性空间的一组基.

标准基定理 是指上述两个等价条件永远成立。接下来,我们在特殊情形下证明此定理。(当然,不可以直接使用此定理。)

- (b) 设 K/F 是一个有限域的有限扩张,这里 |F|=q. 用  $\Phi:x\mapsto x^q$  记 K 上的 q 次幂 Frobenius 映射,并记  $G:=\mathrm{Gal}(K/F)$ . 求  $\Phi$  作为 F-线性空间 K 上线性映射的极小多项式.
- (c) 符号和标记如 (b). 利用 (b) 证明有限域有限扩张的标准基定理。(如果没有证明 (b) 可以使用 (b) 的结论。)

This problem concerns normal basis theorem.

(a) Let K/F be a finite Galois extension with Galois group G. Prove that K viewed as a module over the group ring F[G] is free of rank 1 if and only if there exists  $x \in K$  such that  $\{\sigma(x) \mid \sigma \in G\}$  form an F-basis of K.

The normal basis theorem states that the above equivalent condition always holds. In the following, we verify this in a very special case. (Clearly, you cannot use normal basis theorem to prove results.)

- (b) Consider the case when K/F is an extension of finite fields with #F = q. Let  $\Phi: x \mapsto x^q$  denote the qth power Frobenius map on K, and let  $G := \operatorname{Gal}(K/F)$ . Compute the minimal polynomial of  $\Phi$  as a F-linear endomorphism of K.
- (c) Keep the setup as in (b). Use (b) to prove the *normal basis theorem* for extensions of finite fields. (Even if you do not know how to prove (b), you can still use the result of (b) to deduce (c).)

证明. (a) If K is an F[G]-module free of rank 1, say with generator x. Let  $\varphi : F[G]x \cong K$ . Then

$$K = \bigoplus_{\sigma \in G} F\sigma(x).$$

Conversely, if  $x \in K$  is so that  $\{\sigma(x) \mid \sigma \in G\}$  form an F-basis of K, we have an isomorphism

$$F[G] \xrightarrow{\cong} K$$

$$\sum_{\sigma} a_{\sigma}[\sigma] \longmapsto \sum_{\sigma} a_{\sigma}\sigma(x).$$

This proves (a).

(b) Assume that [K:F]=n. Consider  $\Phi$  as an F-linear operator acting on K; let  $P(x)=x^m+a_{m-1}x^{m-1}+\cdots+a_0\in F[x]$  denote its minimal polynomial. Since  $\Phi^n=1$  on K, we must have  $P(x)|(x^n-1)$ . In particular,  $m\leq n$ .

If m < n, we must have  $\Phi^m + a_{m-1}\Phi^{m-1} + \cdots + a_0 \cdot \mathrm{id} = 0$  on K. Yet by Artin's independence of characters,  $1, \Phi, \Phi^2, \ldots, \Phi^{n-1}$  are linearly independent as functions on K. So the above linear relation cannot happen with m < n. So m = n and thus  $P(x) = x^n - 1$ .

(c) Since  $\dim_F K$  is equal to the degree of the minimal polynomial of  $\Phi$ , the F-vector space K, as a F[t]-module where t acts by  $\Phi$ , is isomorphic to  $F[t]/(t^n-1)$ . This means that K is a free module of  $F[\operatorname{Gal}(K/F)]$  of rank 1.

解答题七 (15 分) 给定交换幺环 R。令 N 为由 R 中幂零的元素构成的集合 (即是具有如下性质的元素  $r \in R$  的集合:存在  $n \ge 1$  使得  $r^n = 0$ ).由课上的一个定理知 N 是 R 的一个理想。证明如下的三个命题(a)–(c)等价.(不允许直接使用大定理如:幂零理想是所有素理想的交。如果一定要使用,需要先给出证明。)

- (a) R/N 是一个域。
- (b) R 中的每个元素要么是一个单位,要么是幂零的。
- (c) N 是一个素理想,且它是 R 的唯一的素理想。

现在,假设 p 是一个素数且  $n \in \mathbb{Z}_{\geq 1}$ 。确定环

$$R = \mathbb{Z}[X]/(X^p - 1, p^n)$$

是否满足上述等价条件。给出证明。

Let R be a commutative ring with 1. Let N be the set of nilpotent elements of R (that is the set of  $r \in R$  such that  $r^n = 0$  for some  $n \ge 1$ ). By a theorem from the class, N is an ideal of R. Prove that the following statements (a)–(c) are equivalent. (One cannot quote big theorems such as nilpotent radical of a commutative ring is the intersection of all prime ideals; if one has to use this, please provide a proof.)

- (a) R/N is a field.
- (b) Every element of R is either a unit or nilpotent.
- (c) N is a prime ideal and it is the only prime ideal of R.

Now assume that p is a prime number and  $n \in \mathbb{Z}_{\geq 1}$ . Determine whether the ring

$$R = \mathbb{Z}[X]/(X^p - 1, p^n)$$

satisfies the above equivalence conditions.

延明. We first point out that a unit of R can never be nilpotent. First prove the equivalence of (a)–(c).

(a)  $\Rightarrow$  (b). Let  $a \in R$  be an element that is not nilpotent. We need to show that a is a unit. Clearly,  $a \notin N$ . Thus the image  $\bar{a}$  of a in R/N is nonzero. Since R/N is a field, there exists  $\bar{b} \in R/N$  such that  $\bar{a} \cdot \bar{b} = \bar{1}$  in R/N. Take any lift  $b \in R$  of  $\bar{b}$ . Then ab - 1 = n for some  $n \in N$ . But n is nilpotent, so  $n^r = 0$  for some  $r \in \mathbb{Z}_{\geq 1}$ . Then we have

$$1 = ab - n = (ab - n)^{r} = \sum_{i=0}^{r} {r \choose i} (ab)^{i} n^{r-i}$$

But the term with i=0 vanishes, so the RHS is a multiple of a. Thus a is a unit.

(b)  $\Rightarrow$  (c). First show that N is a prime ideal. Indeed, if  $ab \in N$  for some  $a, b \in R$ . Suppose that  $a, b \notin N$ , then a, b are both units, so ab is also a unit, and thus  $ab \notin N$ . Contradiction. So N is a prime ideal.

Now we show that every prime ideal  $\mathfrak{p}$  is equal to N. Indeed, for every element  $n \in N$ ,  $n^r = 0 \in \mathfrak{p}$  for some r. So  $n \in \mathfrak{p}$ , and thus  $N \subseteq \mathfrak{p}$ . But every element that is not in N is a unit, and a prime ideal cannot contain a unit. So  $N = \mathfrak{p}$ .

(c)  $\Rightarrow$  (a) Since N is the only prime ideal of R, it is a maximal ideal. Thus R/N is a field.

Now, we prove that the ring  $R = \mathbb{Z}[X]/(X^p - 1, p^n)$  satisfies the above equivalence conditions. It is clear that  $p \in N$  because  $p^n$  is zero in R. Moreover, we claim that  $X - 1 \in N$ , this is because  $(X - 1)^p = X^p - 1 + p*$  is a multiple of p; so  $(X - 1)^{pn}$  is zero in R. It then follows that  $(p, X - 1) \subseteq N$ . But on the other hand,

$$R/(p, X-1) = \mathbb{F}_p[X]/(X-1) \cong \mathbb{F}_p$$

is already a field. So N=(p,X-1) is a maximal ideal and the quotient R/N is a field. The ring R satisfies condition (a).

**解答题八**  $(10 \ \mathcal{G})$  固定素数 p. 设 L/K 是特征 p 的域的一个有限扩张. 记  $\sigma$  为域 L 的 p-Frobenius 自同态,显然  $\sigma$  将 K 映到自身.

(a) 考虑 L/K 的中间域:

$$K \subset \cdots \subset K\sigma^3(L) \subset K\sigma^2(L) \subset K\sigma(L) \subset L$$
.

证明:对所有非负整数 n,

$$[K\sigma^n(L):K\sigma^{n+1}(L)]\geq [K\sigma^{n+1}(L):K\sigma^{n+2}(L)].$$

(b) 证明:如果  $[L:K\sigma(L)] \leq p$ ,那么域扩张 L/K 可以由一个元素生成. (可以使用课上证明或者作业中的结论,使用其它结论需要给出证明。)

Let p be a prime number. Let L/K be a finite extension of fields of characteristic p, and let  $\sigma$  denote the p-Frobenius endomorphism on L, which of course stabilizes K.

(a) Consider the intermediate fields between K and L:

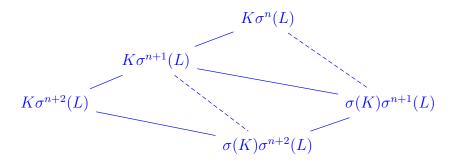
$$K \subseteq \cdots \subseteq K\sigma^3(L) \subseteq K\sigma^2(L) \subseteq K\sigma(L) \subseteq L.$$

Prove that for any  $n \in \mathbb{Z}_{>0}$ ,

$$[K\sigma^n(L):K\sigma^{n+1}(L)]\geq [K\sigma^{n+1}(L):K\sigma^{n+2}(L)].$$

(b) Prove that if  $[L:K\sigma(L)] \leq p$ , then L/K can be generated by one element. (You are allowed to use theorems proved in class or in exercises; for all other theorems, you need to provide proofs.)

证明. (a) Consider the following tower of extensions



The extension  $\sigma(K)\sigma^{n+1}(L)/\sigma(K)\sigma^{n+2}(L)$  is isomorphic to the extension  $K\sigma^n(L)/K\sigma^{n+1}(L)$  (under the isomorphism via  $\sigma$ ), and the extension  $K\sigma^{n+1}(L)/K\sigma^{n+2}(L)$  is the composition of the extension  $\sigma(K)\sigma^{n+1}(L)/\sigma(K)\sigma^{n+2}(L)$  with K. So we have

$$[K\sigma^{n}(L):K\sigma^{n+1}(L)] = [\sigma(K)\sigma^{n+1}(L):\sigma(K)\sigma^{n+2}(L)] \geq [K\sigma^{n+1}(L):K\sigma^{n+2}(L)].$$

(b) First of all, the p-th power of every element of  $K\sigma^n(L)$  belongs to  $K\sigma^{n+1}(L)$ ; the extension  $K\sigma^n(L)/K\sigma^{n+1}(L)$  is a power of p (or 1).

By (a), we know that

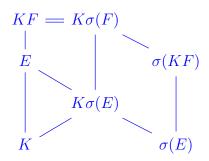
$$p \ge [L: K\sigma(L)] \ge [K\sigma(L): K\sigma^2(L)] \ge \cdots$$

So there must be a positive integer n such that

$$[L: K\sigma(L)] = \dots = [K\sigma^{n-1}(L): K\sigma^{n}(L)] = p, \quad K\sigma^{n}(L) = K\sigma^{n+1}(L) = K\sigma^{n+2}(L) = \dots$$

Let  $\alpha$  be an element of L that generates L over  $K\sigma(L)$ . Then  $\alpha^p \in K\sigma(L)$ . By the exact proof of (a), we see that  $\alpha^p$  generates  $\sigma(K)\sigma(L)$  over  $\sigma(K)\sigma^2(L)$  and hence generates  $K\sigma(L)$  over  $K\sigma^2(L)$ . Continue this way, we have  $\alpha^{p^{n-1}}$  generates  $K\sigma^{n-1}(L)$  over  $K\sigma^n(L)$ . Thus,  $\alpha$  generates L over  $K\sigma^n(L)$ .

On the other hand, put  $F = \sigma^n(L)$ . We show that  $KF = K\sigma(F)$  implies that KF is separable over K. Indeed, we first prove that for any intermediate field E of KF/K,  $E = \sigma(E)K$ . Clearly,  $E \supseteq K\sigma(E)$ . Then we have the following diagram



From this, we have

$$[K\sigma(F):K\sigma(E)] = [KF:E][E:K\sigma(E)] \geq [KF:E] = [\sigma(KF):\sigma(E)] \geq [K\sigma(F):K\sigma(E)].$$

Here the last equality follows from the isomorphism  $\sigma$ , and the last inequality follows from composing the extension  $\sigma(KF)/\sigma(E)$  with K. From this series of inequalities, we see that all equality holds; in particular  $E = K\sigma(E)$ .

Now suppose that KF is not separable over K. If  $\alpha \in KF$  is inseparable over K, with minimal polynomial  $g(t^p)$  for a polynomial  $g(x) \in F[x]$  of degree m. Then  $[K(\alpha) : K] = pm$  and  $[K(\alpha^p) : K] = m$ . Yet  $\alpha^p \in K\sigma(K(\alpha))$  which is equal to  $KK(\alpha) = K(\alpha)$  by the discussion of intermediate field. This is a contradiction.

Now, we conclude by noting that  $K\sigma^n(L)/K$  is separable and hence generated by one element  $\beta$ . Thus  $\alpha$  and  $\beta$  generate L over K with  $\beta$  separable over K. By a theorem in class, L/K is generated by one element.